M.Sc. Semester-IV
Core Course-9 (CC-9)
Synthetic Organic Chemistry



III. Photochemistry 19. Paterno-Büchi Reaction : Mechanism and Examples



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Paterno-Büchi Reaction

Cycloaddition of a carbonyl compound to an alkene

$$\begin{array}{c|c}
0 \\
+ \\
1 \\
2
\end{array}$$

The photochemical cycloaddition of a carbonyl compound 1 to an alkene 2 to yield an oxetane 3, is called the *Paterno–Büchi reaction*. This reaction belongs to the more general class of photochemical [2 + 2]-cycloadditions, and is just as these, according to the Woodward–Hofmann rules, photochemically a symmetry-allowed process, and thermally a symmetry-forbidden process.

The irradiation is usually carried out with light of the near UV region, in order to activate only the $n \to \pi^*$ transition of the carbonyl function,⁴ thus generating excited carbonyl species. Depending on the substrate, it can be a singlet or triplet excited state. With aromatic carbonyl compounds, the reactive species are usually in a T_1 -state, while with aliphatic carbonyl compounds the reactive species are in a S_1 -state. An excited carbonyl species reacts with a ground state alkene molecule to form an *exciplex*, from which in turn diradical species can be formed—e.g. 4 and 5 in the following example:

Diradical species 4 is more stable than diradical 5, and the oxetane 6 is thus formed preferentially; oxetane 7 is obtained as minor product only. Evidence for diradical intermediates came from trapping experiments,⁵ as well as spectroscopic investigations.⁶

In addition to the intermolecular Paterno-Büchi reaction, the intramolecular variant has also been studied;² the latter allows for the construction of bicyclic structures in one step. For example the diketone 8 reacts quantitatively to the bicyclic ketone 9:

Although the Paterno–Büchi reaction is of high synthetic potential, its use in organic synthesis is still not far developed.² In recent years some promising applications in the synthesis of natural products have been reported.⁸ The scarce application in synthesis may be due to the non-selective formation of isomeric products that can be difficult to separate—e.g. 6 and 7—as well as to the formation of products by competitive side-reactions such as *Norrish type-I-* and *type-II fragmentations*.

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